



Office of the City Manager

CONSENT CALENDAR
May 12, 2020

To: Honorable Mayor and Members of the City Council
 From: Dee Williams-Ridley, City Manager
 Submitted by: Teresa Berkeley-Simmons, Budget Manager
 Subject: Amendment: FY 2020 Annual Appropriations Ordinance

RECOMMENDATION

Adopt first reading of an Ordinance amending the FY 2020 Annual Appropriations Ordinance No. 7,694–N.S. for fiscal year 2020 based upon recommended re-appropriation of committed FY 2019 funding and other adjustments in the amount of \$47,602,843 (gross) and \$42,647,016 (net).

FISCAL IMPACTS OF RECOMMENDATION

On June 25, 2019 the City Council adopted the FY 2020 Budget, authorizing gross appropriations of \$525,856,809 and net appropriations of \$460,146,093 (net of dual appropriations).

The First Amendment to the FY 2020 Annual Appropriations Ordinance, adopted by Council on December 10, 2019, increased the gross appropriations to \$672,748,107 and net appropriations to \$600,573,611 and represented the re-authorization of funding previously committed in FY 2019 and some new expenditures including new grant fund appropriations¹.

The Second Amendment to the FY 2020 Annual Appropriations Ordinance, adopted by Council on April 28, 2020, increased gross appropriations to \$701,313,370 and net appropriations to \$615,952,179. The changes in this amendment were primarily unencumbered carryover and adjustments in special funds that were not able to be included in the First Amendment to the FY 2020 Annual Appropriations Ordinance².

The Third Amendment to the FY 2020 Annual Appropriations Ordinance totals \$47,602,843 (gross) and \$42,647,016 (net) and increases gross appropriations to \$748,916,213 and net appropriations to \$658,599,195. The changes in this amendment represent the last appropriation changes for FY 2020 and are for adjustments for a number of grant funds, re-appropriation of FY 2019 funds and new funds for capital

¹ https://www.cityofberkeley.info/Clerk/City_Council/2019/12_Dec/Documents/2019-12-10_Item_B_Ordinance_7682_pdf.aspx

² https://www.cityofberkeley.info/Clerk/City_Council/2020/04_Apr/Documents/2020-04-28_Item_01_Ordinance_7694.aspx

projects, and purchases that needed to be funded before the end of the fiscal year on June 30, 2020.

BACKGROUND

The Annual Appropriations Ordinance (AAO) establishes the expenditure limits by fund for FY 2020. Throughout the year, the City takes actions that amend the adopted budget. These may include, but are not limited to, the acceptance of new grants, revisions to existing grants, adjustments to adopted expenditure authority due to emergency needs, and transfers in accordance with Council’s fiscal policies.

The adopted budget is also amended annually to reflect the re-appropriation of prior year funds for contractual commitments (i.e. encumbrances) as well as unencumbered carryover of unexpended funds previously authorized for one-time, non-recurring purposes. These budget modifications are periodically presented to the Council in the form of an Ordinance amending the Annual Appropriations Ordinance, which formally requires a two-thirds vote of the City Council. This report addresses re-appropriating FY 2019 spending authority to FY 2020 of available cash for commitments entered into in prior years and is the third amendment to the FY 2020 AAO.

When Council adopts an appropriations ordinance (budget), it is based on projected revenues and expenditures. If fund balances do not support the requested level of expenditures, no carryover is recommended.

The proposed changes, presented in their entirety in Exhibit A, are summarized as follows:

	Recommended Carryover	Recommended Adjustments	Total
General Fund (011)	\$ -	\$ 1,063,700	\$ 1,063,700
Capital Improvement Fund (501)			\$ -
All Other Funds	\$ 709,459	\$ 45,829,684	\$ 46,539,143
Total	\$ 709,459	\$ 46,893,384	\$ 47,602,843

Below is a summary of the FY 2019 Unencumbered Carryover and the FY 2020 Adjustments for the City’s General Fund and Other Funds.

General Fund

The General Fund includes recommended adjustments of \$1,063,700 for the following items:

- ❑ \$1 million in Measure U1 funds of \$3 million approved for COVID-19 Berkeley Relief Fund for the East Bay Community Law Center contract for housing retention program
- ❑ \$48,700 for traffic calming at Dwight & California. \$400,000 was originally approved from Council budget referral in November 2018.

- \$15,000 for the Hopkins Corridor Study. \$200,000 was originally approved as a General Fund Excess Equity allocation by Council in November 2018

Other Funds

Other City funds (including capital improvement project funds) total unencumbered carryover of \$708,459 and other adjustments of \$46,539,143 including the following allocations:

Carryover

- \$121,831 in Parks Tax Funds for the Aquatic Park South Pathways Project
- \$158,014 in Miles (Bayer) Lab funds for the Aquatic Park South Pathways Project
- \$220,000 in Capital Grants – State Funds for the University Avenue Bus Stop Improvements Project
- \$206,839 in Measure T1 Funds for various projects

Other Adjustments

- \$8,918,696 in Playground Camps funds for the Berkeley Tuolumne Camp project
- \$1,610,805 in CDBG funds for Berkeley to prevent, prepare for, and respond to coronavirus (COVID-19)
- \$550,629 in Measure B – Local Streets & Road funds for the for Sacramento Street/North Berkeley BART Complete Streets project
- \$289,947 in Measure BB – Local Streets & Roads funds for final construction payment of FY 2018 Street Rehabilitation Project
- \$492,015 in Measure BB – Bike & Pedestrian Funds for the Sacramento Street/North Berkeley BART Complete Streets project
- \$1,058,161 in HUD/HOME funds for the 1601 Oxford project
- \$808,117 in ESGP funds for Berkeley of ESG funds to prevent, prepare for, and respond to coronavirus (COVID-19)
- \$761,739 in Mental Health Services Act funds for contracts in the City Manager's Office 2020 Vision Program and for Health, Housing & Community Services Mental Health Division
- \$230,000 in Community Action Fund (CSBG) monies for rental assistance to formerly homeless clients participating in the Shelter Plus Care Program (\$30,000) and allocation for Berkeley of CSBG funds to prevent, prepare for, and respond to coronavirus (COVID-19) (\$200,000)
- \$1,248,678 in CALTRANS Grant funds for the Sacramento Street/North Berkeley BART Complete Streets project
- \$233,724 in Bioterrorism Grant funds for a grant from the California Department of Public Health to prevent, prepare for, and respond to COVID-19
- \$419,727 in Measure T1 funds for various projects
- \$25,100,000 in Measure O funds for the Berkeley Way Project (\$13,820,909), 1601 Oxford Project (\$4,179,091), and Bridge 1740 San Pablo Avenue Project (\$7,100,000)
- \$130,000 in California Department of Boating & Waterways funds for the Surrendered and Abandoned Vessel Exchange (SAVE) program

- \$3,244,760 in Equipment Replacement Funds for vehicle purchases to be done in FY 2020 (\$2,800,000) and for principal and Interest payments of Purchase Agreement for financing Fire Trucks per Resolution # 69-103-N.S. (\$444,760)

This report was discussed with the Budget & Finance Policy Committee at their meeting on April 27, 2020..

ENVIRONMENTAL SUSTAINABILITY

There are no identifiable environmental effects or opportunities associated with the act of adopting the budget/appropriations ordinance/amendments. Actions included in the budget will be developed and implemented in a manner that is consistent with the City's environmental sustainability goals and requirements.

RATIONALE FOR RECOMMENDATION

The recommendation allows the City to amend the FY 2020 Adopted Budget, re-appropriating funds from FY 2019 to FY 2020 for contractual commitments that need to be paid and revising the budget to reflect approved carryover requests in both discretionary and non-discretionary funds.

The recommendations in this report deal with the unencumbered carryover in the funds listed above and the other adjustments in all funds. Staff has conducted a detailed analysis of the individual carryover requests submitted by departments and is presenting carryover recommendations for projects that are either currently under contract, represent council priorities, and/or are considered critical.

CONTACT PERSON

Teresa Berkeley-Simmons, Budget Manager, City Manager's Office, 981-7000
Rama Murty, Senior Management Analyst, City Manager's Office, 981-7000

Attachments:

1: Ordinance

Exhibit A: Annual Appropriation Ordinance Summary of Appropriations by Fund

2: FY 2020 Annual Appropriations Ordinance Amendment #3 Recommendations

ORDINANCE NO. -N.S.

AMENDING THE ANNUAL APPROPRIATIONS ORDINANCE NO. 7,694–N.S. FOR FISCAL YEAR 2020

A. General Fund (Funds 001-099)	230,824,603
B. Special Funds (Funds 100-199)	140,209,945
C. Grant Funds (Funds 300-399)	55,766,155
D. Capital Projects Funds (Funds 500-550)	102,465,423
E. Debt Service Fund (Funds 551-599)	10,533,979
F. Enterprise Funds (Funds 600-669)	146,604,859
G. Internal Service Funds (Funds 146, 670-699)	51,198,280
H. Successor Agency (Funds 760-769)	56,960
I. Agency Funds (Funds 771-799)	5,758,361
J. Other Funds (Funds 800-899)	5,497,649
<u>K. Total</u>	
Total General Fund	230,824,603
Add: Total Other Than General Fund	518,091,611
Gross Revenue Appropriated	748,916,213
Less: Dual Appropriations	-39,232,738
Less: Revolving/Internal Service Funds	-51,084,280
Net Revenue Appropriated	658,599,195

Section 2. The City Manager is hereby permitted, without further authority from the City Council, to make the following transfers by giving written notice to the Director of Finance:

- a. From the General Fund to the General Fund – Stability Reserve Fund; Catastrophic Reserve Fund; Health State Aid Realignment; Paramedic Tax Fund; Capital Improvement Fund; Phone System Replacement; Equipment Replacement Fund; Public Liability Fund; Catastrophic Loss Fund; Police Employee Retiree Health Assistance Plan; Safety Members Pension Fund; Information Technology Cost Allocation Fund; and Sick Leave Entitlement Fund.
- b. To the General Fund from the Community Development Block Grant Fund; Street Lighting Assessment District Fund; Zero Waste Fund; Marina Operations and Maintenance Fund; Sanitary Sewer Operation Fund; Clean Storm Water Fund;

Permit Service Center Fund; Parking Meter Fund; Unified Program (CUPA); and Health State Aid Realignment Fund.

- c. To the First Source Fund from the Parks Tax Fund; Capital Improvement Fund; and the Marina Fund.
- d. From UC Settlement Fund to General Fund and Clean Storm Water Fund.
- e. From Capital Improvement Fund to PERS Savings Fund; Berkeley Repertory Theater Fund; 2010 COP (Animal Shelter) Fund; Workers' Compensation Fund; and Information Technology Cost Allocation Fund.
- f. To the Public Art Fund from the Parks Tax Fund; Capital Improvement Fund; and the Marina Fund.
- g. To CFD#1 District Fire Protection Bond (Measure Q) from Special Tax Bonds CFD#1 ML-ROOS.
- h. To Private Sewer Lateral Fund from Sanitary Sewer Operation Fund.
- i. To Catastrophic Loss Fund from Permit Service Center Fund.
- j. To Catastrophic Loss Fund from Unified Program (CUPA) Fund.
- k. To the Building Purchases and Management Fund from General Fund; Health (General) Fund; Rental Housing Safety Program Fund; Measure B Local Streets & Road Fund; Employee Training Fund; Zero Waste Fund; Sanitary Sewer Operation Fund; Clean Storm Water Fund; Permit Service Center Fund; Off Street Parking Fund; Parking Meter Fund; Unified Program (CUPA) Fund; Building Purchases & Management Fund; Building Maintenance Fund; Central Services Fund; and Health State Aide Realignment Trust Fund.
- l. To Equipment Replacement Fund from General Fund; Mental Health Services Act Fund; Health (Short/Doyle) Fund; Vector Control Fund; Paramedic Tax Fund; Playground Camp Fund; State Transportation Tax Fund; Rental Housing Safety Program Fund; Parks Tax Fund; Street Light Assessment District Fund; Zero Waste Fund; Marina Operations/Maintenance Fund; Sanitary Sewer Operation Fund; Clean Storm Water Fund; Permit Service Center Fund; Parking Meter Fund; Equipment Maintenance Fund; Building Maintenance Fund; and Central Services Fund.
- m. To the Equipment Maintenance Fund from General Fund; Health (General) Fund; Mental Health Services Act Fund; Health (Short/Doyle) Fund; Vector Control Fund; Paramedic Tax Fund; Library - Discretionary Fund; Playground Camp Fund; State Transportation Tax Fund; Rental Housing Safety Program Fund; Rent Stabilization Board Fund; Parks Tax Fund; Street Light Assessment District Fund; FEMA Fund; Zero Waste Fund; Marina Operations/Maintenance Fund; Sanitary Sewer Operation Fund; Clean Storm Water Fund; Permit Service Center Fund; Off Street

Parking Fund; Parking Meter Fund; Equipment Maintenance Fund; Building Maintenance Fund; and Central Services Fund.

- n. To the Building Maintenance Fund from the General Fund; Health (General) Fund; Health (Short/Doyle) Fund; Measure B Local Street & Road Fund; Parks Tax Fund; Street Light Assessment District Fund; Zero Waste Fund; Sanitary Sewer Operation Fund; Clean Storm Water Fund; Off Street Parking Fund; Parking Meter Fund; Equipment Maintenance Fund; Building Maintenance Fund; and Mental Health State Aid Realignment Fund.
- o. To the Central Services Fund from the General Fund; First Source Fund; Health (Short/Doyle) Fund; Library-Discretionary Fund; Playground Camp Fund; Rent Stabilization Board Fund; Zero Waste Fund; Marina Operations/Maintenance Fund; Sanitary Sewer Operation; Building Purchases & Management Fund; Building Maintenance Fund; Central Services Fund; and Mental Health State Aid Realignment Fund.
- p. To Information Technology Cost Allocation Plan Fund from General Fund; Target Case Management/Linkages Fund; Health (Short/Doyle); Library Fund; Playground Camp Fund; State Transportation Tax Fund; CDBG Fund; Rental Housing Safety Program; Rent Stabilization Board Fund; Parks Tax Fund; Street Light Assessment District Fund; Zero Waste Fund; Marina Operations/Maintenance Fund; Sanitary Sewer Operation; Clean Storm Water Fund; Permit Service Center Fund; Off Street Parking Fund; Parking Meter Fund; Unified Program (CUPA) Fund; Equipment Maintenance Fund; Building Maintenance Fund; Information Technology Cost Allocation Plan Fund; Health State Aid Realignment Trust Fund; and Mental Health State Aid Realignment Fund.
- q. To the Workers' Compensation Self-Insurance Fund from General Fund; Special Tax for Severely Disabled Measure E Fund; First Source Fund; HUD Fund; ESGP Fund; Health (General) Fund; Target Case Management/Linkages Fund; Mental Health Service Act Fund; Health (Short/Doyle) Fund; EPSDT Expansion Proposal Fund; Senior Nutrition (Title III) Fund; C.F.P. Title X Fund; Fund Raising Activities Fund; Berkeley Unified School District Grant; Vector Control Fund; Paramedic Tax Fund; Alameda County Grants Fund; Senior Supportive Social Services Fund; Family Care Support Program Fund; Domestic Violence Prevention – Vital Statistics Fund; Affordable Housing Mitigation; Inclusionary Housing Program; Library – Discretionary Fund; Playground Camp Fund; Community Action Program Fund; State Proposition 172 Public Safety Fund; State Transportation Tax Fund; CDBG Fund; Rental Housing Safety Program; Measure B Local State & Road Fund; Measure B Bike & Pedestrian Fund; Measure B – Paratransit Fund; Measure F Alameda County Vehicle Registration Fee Streets & Roads Fund; Measure BB – Paratransit Fund; One-Time Grant: No Cap Expense Fund; Rent Stabilization Board Fund; Parks Tax Fund; Measure GG – Fire Prep Tax Fund; Street Lighting Assessment District Fund; Employee Training Fund; Private Percent – Art Fund; Measure T1 – Infrastructure & Facilities Fund; FUND\$ Replacement Fund; Capital Improvement Fund; FEMA Fund; CFD #1 District Fire Protect Bond Fund; Special Tax Bonds CFD#1 ML-ROOS Fund; Shelter+Care HUD Fund; Shelter+Care

County Fund; Zero Waste Fund; Marina Operations/Maintenance Fund; Sanitary Sewer Operation Fund; Clean Storm Water Fund; Private Sewer Lateral Fund; Permit Service Center Fund; Off-Street Parking Fund; Parking Meter Fund; Unified Program (CUPA) Fund; Building Purchases & Management Fund; Equipment Replacement Fund; Equipment Maintenance Fund; Building Maintenance Fund; Central Services Fund; Workers' Compensation Fund; Public Liability Fund; Information Technology Cost Allocation Plan Fund; Health State Aid Realignment Trust Fund; Tobacco Control Trust Fund; Mental Health State Aid Realignment Fund; Alameda Abandoned Vehicle Abatement Authority; and Bio-Terrorism Grant Fund.

- r. To the Sick Leave and Vacation Leave Accrual Fund from General Fund; Special Tax for Severely Disabled Measure E Fund; First Source Fund; HUD Fund; ESGP Fund; Health (General) Fund; Target Case Management/Linkages Fund; Mental Health Service Act Fund; Health (Short/Doyle) Fund; EPSDT Expansion Proposal Fund; Senior Nutrition (Title III) Fund; C.F.P. Title X Fund; Fund Raising Activities Fund; Berkeley Unified School District Grant; Vector Control Fund; Paramedic Tax Fund; Alameda County Grants Fund; Senior Supportive Social Services Fund; Family Care Support Program Fund; Domestic Violence Prevention – Vital Statistics Fund; Affordable Housing Mitigation; Inclusionary Housing Program; Library – Discretionary Fund; Playground Camp Fund; Community Action Program Fund; State Proposition 172 Public Safety Fund; State Transportation Tax Fund; CDBG Fund; Rental Housing Safety Program; Measure B Local State & Road Fund; Measure B Bike & Pedestrian Fund; Measure B – Paratransit Fund; Measure F Alameda County Vehicle Registration Fee Streets & Roads Fund; Measure BB – Paratransit Fund; One-Time Grant: No Cap Expense Fund; Rent Stabilization Board Fund; Parks Tax Fund; Measure GG – Fire Prep Tax Fund; Street Lighting Assessment District Fund; Employee Training Fund; Private Percent – Art Fund; Measure T1 – Infrastructure & Facilities Fund; FUND\$ Replacement Fund; Capital Improvement Fund; FEMA Fund; CFD #1 District Fire Protect Bond Fund; Special Tax Bonds CFD#1 ML-ROOS Fund; Shelter+Care HUD Fund; Shelter+Care County Fund; Zero Waste Fund; Marina Operations/Maintenance Fund; Sanitary Sewer Operation Fund; Clean Storm Water Fund; Private Sewer Lateral Fund; Permit Service Center Fund; Off-Street Parking Fund; Parking Meter Fund; Unified Program (CUPA) Fund; Building Purchases & Management Fund; Equipment Replacement Fund; Equipment Maintenance Fund; Building Maintenance Fund; Central Services Fund; Workers' Compensation Fund; Public Liability Fund; Information Technology Cost Allocation Plan Fund; Health State Aid Realignment Trust Fund; Tobacco Control Trust Fund; Mental Health State Aid Realignment Fund; Alameda Abandoned Vehicle Abatement Authority; and Bio-Terrorism Grant Fund.
- s. To the Payroll Deduction Trust Fund from General Fund; Special Tax for Severely Disabled Measure E Fund; First Source Fund; HUD Fund; ESGP Fund; Health (General) Fund; Target Case Management/Linkages Fund; Mental Health Service Act Fund; Health (Short/Doyle) Fund; EPSDT Expansion Proposal Fund; Senior Nutrition (Title III) Fund; C.F.P. Title X Fund; Fund Raising Activities Fund; Berkeley Unified School District Grant; Vector Control Fund; Paramedic Tax Fund; Alameda County Grants Fund; Senior Supportive Social Services Fund; Family

Care Support Program Fund; Domestic Violence Prevention – Vital Statistics Fund; Affordable Housing Mitigation; Inclusionary Housing Program; Library – Discretionary Fund; Playground Camp Fund; Community Action Program Fund; State Proposition 172 Public Safety Fund; State Transportation Tax Fund; CDBG Fund; Rental Housing Safety Program; Measure B Local State & Road Fund; Measure B Bike & Pedestrian Fund; Measure B – Paratransit Fund; Measure F Alameda County Vehicle Registration Fee Streets & Roads Fund; Measure BB – Paratransit Fund; One-Time Grant: No Cap Expense Fund; Rent Stabilization Board Fund; Parks Tax Fund; Measure GG – Fire Prep Tax Fund; Street Lighting Assessment District Fund; Employee Training Fund; Private Percent – Art Fund; Measure T1 – Infrastructure & Facilities Fund; FUNDS\$ Replacement Fund; Capital Improvement Fund; FEMA Fund; CFD #1 District Fire Protect Bond Fund; Special Tax Bonds CFD#1 ML-ROOS Fund; Shelter+Care HUD Fund; Shelter+Care County Fund; Zero Waste Fund; Marina Operations/Maintenance Fund; Sanitary Sewer Operation Fund; Clean Storm Water Fund; Private Sewer Lateral Fund; Permit Service Center Fund; Off-Street Parking Fund; Parking Meter Fund; Unified Program (CUPA) Fund; Building Purchases & Management Fund; Equipment Replacement Fund; Equipment Maintenance Fund; Building Maintenance Fund; Central Services Fund; Workers' Compensation Fund; Public Liability Fund; Information Technology Cost Allocation Plan Fund; Health State Aid Realignment Trust Fund; Tobacco Control Trust Fund; Mental Health State Aid Realignment Fund; Alameda Abandoned Vehicle Abatement Authority; and Bio-Terrorism Grant Fund.

Section 3. Copies of this Ordinance shall be posted for two days prior to adoption in the display case located near the walkway in front of the Maudelle Shirek Building, 2134 Martin Luther King Jr. Way. Within 15 days of adoption, copies of this Ordinance shall be filed at each branch of the Berkeley Public Library and the title shall be published in a newspaper of general circulation.

Attachment for Annual Appropriations Ordinance Amendment - Fiscal Year 2020**REVOLVING FUNDS/INTERNAL SERVICE FUNDS**

Appropriations are identified with revolving and internal service funds. Such funds derive revenue by virtue of payment from other fund sources as benefits are received by such funds, and the total is reflected in the "Less Revolving Funds and Internal Service Funds" in item I. The funds are:

Transfers to the General Fund	
<u>Indirect Cost Reimbursement</u>	
CDBG Fund	154,260
Street Light Assessment District Fund	112,971
Zero Waste Fund	2,195,402
Marina Enterprise Fund	438,683
Sanitary Sewer Fund	1,127,948
Clean Storm Water Fund	214,695
Permit Service Center Fund	1,734,781
Unified Program (CUPA) Fund	90,763
Subtotal Transfers to General Fund:	6,069,503

DUAL APPROPRIATIONS - WORKING BUDGET

Dual appropriations are identified with revenues generated by one fund and transferred to another fund. Both funds are credited with the applicable revenue, and the total is reflected in the "Less Dual Appropriations" in item I. The dual appropriations are:

Transfers to the General Fund	
<u>Indirect Cost Reimbursement</u>	
CDBG Fund	154,260
Street Light Assessment District Fund	112,971
Zero Waste Fund	2,195,402
Marina Enterprise Fund	438,683
Sanitary Sewer Fund	1,127,948
Clean Storm Water Fund	214,695
Permit Service Center Fund	1,734,781
Unified Program (CUPA) Fund	90,763
Subtotal Transfers to General Fund:	6,069,503

Transfer to Safety Members Pension Fund from General Fund	551,804
Transfer to General Fund – Stabilization Reserves from General Fund	640,000
Transfer to General Fund – Catastrophic Reserves from General Fund	520,000
Transfer to Health State Aid Realignment from General Fund	1,953,018
Transfer to Paramedic Tax Fund from General Fund	670,158
Transfer to Capital Improvement Fund (CIP) from General Fund	10,004,736
Transfer to Phone System Replacement - VOIP from General Fund	198,000
Transfer to FUND\$ Replacement Fund from General Fund	1,929,000

Transfer to Equipment Replacement Fund from General Fund	1,336,699
Transfer to Public Liability Fund from General Fund	2,895,888
Transfer to Catastrophic Loss Fund from General Fund	1,351,564
Transfer to IT Cost Allocation Fund from General Fund	959,470
Transfer to Police Employee Retiree Health Assistance Plan from General Fund	400,136
Transfer to Sick Leave Entitlement Fund from General Fund	201,501
Transfer to Workers' Compensation Fund from General Fund	946,163
Transfer to General Fund from UC Settlement Fund	1,000,620
Transfer to Clean Storm Water Fund from UC Settlement Fund	293,708
Transfer to General Fund from Health State Aid Realignment Fund	2,643,280
Transfer to PERS Savings Fund from Capital Improvement Fund	151,632
Transfer to Berkeley Repertory Theater Debt Service Fund from Capital Improvement Fund	499,802
Transfer to 2010 COP (Animal Shelter) Fund from Capital Improvement Fund	402,613
Transfer to Workers' Compensation Fund from Capital Improvement Fund	406,952
Transfer to IT Cost Allocation Fund from Capital Improvement Fund	544,357
Transfer to Private Sewer Lateral Fund from Sewer Fund	90,501
Transfer to Catastrophic Loss Fund from Permit Service Center Fund	50,555
Transfer to Catastrophic Loss Fund from Unified Program (CUPA) Fund	5,082
Transfer to General Fund from Parking Meter Fund	1,742,288
Transfer from Special Tax Bonds CFD#1 ML-ROOS to CFD#1 District Fire Protect Bond (Measure Q)	665,100
Transfer to First Source Fund from Parks Tax Fund	11,625
Transfer to First Source Fund from Capital Improvement Fund	29,943
Transfer to First Source Fund from Marina Fund	1,875
Transfer to Public Art Fund from Parks Tax Fund	17,437
Transfer to Public Art Fund from Capital Improvement Fund	44,915
Transfer to Public Art Fund from Marina Fund	2,813
Subtotal Transfers to Other Funds:	<u>33,163,235</u>
Sub-Total Dual Appropriations	<u>39,232,738</u>
Grand Total Dual Appropriations	90,317,018

SUMMARY OF APPROPRIATIONS BY FUND

Fund #	Fund	FY 2020 Revised #2	3rd AAO			FY 2020 Revised #3
			Unencumbered Carryover	Other Adjustments	Total Amend.	
11	General Fund Discretionary	229,760,903		1,063,700	1,063,700	230,824,603
101	Library - Tax	26,537,411			0	26,537,411
103	Library - Grants	64,230			0	64,230
104	Library - Friends & Gift	150,552			0	150,552
105	Library - Foundation	126,211			0	126,211
106	Asset Forfeiture	201,000			0	201,000
107	Special Tax Measure E	1,316,894			0	1,316,894
108	First Source Fund	47,327			0	47,327
110	Sec 108 Loan Gty Asst.	548,079			0	548,079
111	Fund Raising Activities	92,408			0	92,408
113	Sports Field (Vendor Oper)	271,291		150,000	150,000	421,291
114	Gilman Fields Reserve	73,173			0	73,173
115	Animal Shelter	66,275			0	66,275
116	Paramedic Tax	3,872,044			0	3,872,044
117	CA Energy Commission	44,249			0	44,249
119	Domestic Violence Prev - Vit Stat	25,646			0	25,646
120	Affordable Housing Mitigation	5,694,114			0	5,694,114
121	Affordable Child Care	13,275			0	13,275
122	Inclusionary Housing Program	673,017			0	673,017
123	Condo Conversion	997,980			0	997,980
124	Parking In-Lieu Fee	82,010			0	82,010
125	Playground Camp	11,102,575		8,918,696	8,918,696	20,021,271
126	State-Prop 172 Pub.Safety	992,797			0	992,797
127	State Transportation Tax	9,574,591			0	9,574,591
128	CDBG	3,828,317		1,610,805	1,610,805	5,439,122
129	Rental Housing Safety Program	1,913,186			0	1,913,186
130	Measure B - Local St & Road	5,750,860		550,629	550,629	6,301,489
131	Measure B - Bike and Pedestrian	620,377			0	620,377
132	Measure B - Paratransit	485,694		50,000	50,000	535,694
133	Measure F Alameda County VRF St & Rd	862,228			0	862,228
134	Measure BB - Local St & Road	8,511,376		289,947	289,947	8,801,323
135	Meaure BB - Bike & Pedestrian	800,141		492,015	492,015	1,292,156
136	Measure BB - Paratransit	451,489			0	451,489
137	One Time Funding	139,080			0	139,080
138	Parks Tax	21,277,865	121,831	30,000	151,831	21,429,696
139	Street And Open Space Impr	1,140,512			0	1,140,512
140	Measure GG - Fire Prep Tax	5,020,054			0	5,020,054
141	1st Response Adv Life Supp	55,144			0	55,144
142	Streetlight Assesment District	3,131,941			0	3,131,941
143	Berkeley Bus Ec Dev	168,387			0	168,387
145	Bayer (Miles Lab)	8,500	158,014		158,014	166,514
146	Employee Training	856,852			0	856,852
147	UC Settlement	1,303,288	2,775		2,775	1,306,063
148	Cultural Trust	168,156			0	168,156
149	Private Party Sidewalks	272,458			0	272,458
150	Public Art Fund	140,608			0	140,608
152	Vital & Health Statistics Trust Fund	28,195			0	28,195
156	Hlth State Aid Realign Trust	4,128,010			0	4,128,010
157	Tobacco Cont.Trust	482,043			0	482,043
158	Mental Health State Aid Realign	4,147,295			0	4,147,295
159	Citizens Option Public Safety Trust	332,672			0	332,672
161	Alameda Cty Abandoned Vehicle Abatement	100,208			0	100,208
306	Capital Grants - State		220,000		220,000	220,000
307	Capital Grants - Local	969,545		92,000	92,000	1,061,545
309	OTS DUI Enforcement Education Prg.	329,500			0	329,500
310	HUD/Home	831,094		1,058,161	1,058,161	1,889,255
311	ESGP	459,353		808,117	808,117	1,267,470
312	Health (General)	2,290,738		87,845	87,845	2,378,583
313	Target Case Management Linkages	1,015,439			0	1,015,439
314	Alameda County Tay Tip	8			0	8
315	Mental Health Service Act	11,682,669		761,739	761,739	12,444,408
316	Health (Short/Doyle)	4,527,197			0	4,527,197

SUMMARY OF APPROPRIATIONS BY FUND

Fund #	Fund	FY 2020 Revised #2	3rd AAO			FY 2020 Revised #3
			Unencumbered Carryover	Other Adjustments	Total Amend.	
317	EPSDT Expansion Proposal	377,855			0	377,855
318	Alcoholic Bev Ctr OTS/UC	67,804			0	67,804
319	Youth Lunch	320,599			0	320,599
320	Sr. Nutrition Title III	86,227		43,034	43,034	129,261
321	CFP Title X	273,740		16,000	16,000	289,740
324	BUSD Grant	307,624			0	307,624
325	Vector Control	345,210			0	345,210
326	Alameda County Grants	576,805			0	576,805
327	Senior Supportive Social Services	56,597		1,822	1,822	58,419
328	Family Care Support Program	72,128		14,055	14,055	86,183
329	CA Integrated Waste Management	5,244			0	5,244
331	Housing Mitigation	1,051,751			0	1,051,751
333	CALHOME	363,100			0	363,100
334	Community Action	264,258		230,000	230,000	494,258
336	One-Time Grant: No Cap Exp	7,319,753		103,500	103,500	7,423,253
338	Bay Area Air Quality Management	60,000			0	60,000
339	MTC	3,251,364			0	3,251,364
340	FEMA	2,837,534			0	2,837,534
341	Alameda Cty Waste Mgt.	508,977			0	508,977
343	State Dept Conserv/Recylg	28,000			0	28,000
344	CALTRANS Grant	1,439,163		1,248,678	1,248,678	2,687,841
345	Measure WW Park Bond Grant	3,007,490			0	3,007,490
346	CALTRANS Safe Routes 2 Schools	9,757			0	9,757
347	Shelter+Care HUD	5,168,632			0	5,168,632
348	Shelter+Care County	546,638			0	546,638
349	JAG Grant	122,500			0	122,500
350	Bioterrorism Grant	273,188		233,724	233,724	506,912
501	Capital Improvement Fund	23,716,859			0	23,716,859
502	Phone System Replacement	198,000			0	198,000
503	FUND\$ Replacement	16,301,023			0	16,301,023
504	PEG-Public, Education & Government	100,000			0	100,000
506	Measure M - Street & Watershed Impv	1,558,071			0	1,558,071
511	Measure T1 - Infra & Facil.	34,864,903	206,839	419,727	626,566	35,491,469
512	Measure O Housing Bonds			25,100,000	25,100,000	25,100,000
552	09 Measure FF Debt Service	1,619,731			0	1,619,731
553	2015 GORBS	2,612,468			0	2,612,468
554	2012 Lease Revenue Bonds BJPFA	502,402			0	502,402
555	2015 GORBS - 2002 G.O. Refunding Bonds	482,600			0	482,600
556	2015 GORBS (2007, Series A)	181,674			0	181,674
557	2015 GORBS (2008 Measure I)	612,562			0	612,562
558	2010 COP (Animal Shelter)	404,498			0	404,498
559	Measure M GO Street & Water Imps	1,647,738			0	1,647,738
560	Infrastructure & Facilities Measure T1	2,470,306			0	2,470,306
601	Zero Waste	50,199,795			0	50,199,795
606	MAR - Costal Conservancy	125,400			0	125,400
607	MAR - Dept of Boating & WTRWY	0		130,000	130,000	130,000
608	Marina Operation	8,331,523			0	8,331,523
611	Sewer	39,620,563			0	39,620,563
612	Private Sewer Lateral FD	197,441			0	197,441
616	Clean Storm Water	4,911,076			0	4,911,076
621	Permit Service Center	20,791,330			0	20,791,330
622	Unified Program (CUPA)	921,461			0	921,461
627	Off Street Parking	7,918,599			0	7,918,599
631	Parking Meter	10,155,921			0	10,155,921
636	Building Purchases and Management	3,301,749			0	3,301,749
671	Equipment Replacement	6,988,814		3,244,760	3,244,760	10,233,574
672	Equipment Maintenance	8,945,238		75,000	75,000	9,020,238
673	Building Maintenance Fund	4,674,225			0	4,674,225
674	Central Services	396,985			0	396,985
675	Computer Replacement Fund	114,000			0	114,000
676	Workers Compensation	6,534,674			0	6,534,674
678	Public Liability	3,274,495			0	3,274,495

SUMMARY OF APPROPRIATIONS BY FUND

Fund #	Fund	FY 2020 Revised #2	3rd AAO			FY 2020 Revised #3
			Unencumbered Carryover	Other Adjustments	Total Amend.	
680	Information Technology	16,093,237			0	16,093,237
762	Successor Agency - Savo DSF	56,960			0	56,960
774	Sustainable Energy Fin District	28,748			0	28,748
776	Thousand Oaks Underground	100,350			0	100,350
777	Measure H - School Tax	500,002			0	500,002
778	Measure Q - CFD#1 Dis. Fire Protect Bond	815,499			0	815,499
779	Spl Tax Bds. CFD#1 ML-ROOS	1,440,883			0	1,440,883
781	Berkeley Tourism BID	650,000		69,430	69,430	719,430
782	Elmwood Business Improvement District	30,001			0	30,001
783	Solano Ave BID	25,000			0	25,000
784	Telegraph Avenue Bus. Imp. District	515,637			0	515,637
785	North Shattuck BID	182,647			0	182,647
786	Downtown Berkeley Prop & Improv. District	1,400,164			0	1,400,164
801	Rent Board	5,497,649			0	5,497,649
	GROSS EXPENDITURE:	701,313,370	709,459	46,893,384	47,602,843	748,916,213
	Dual Appropriations	(37,596,671)		(1,636,067)	(1,636,067)	(39,232,738)
	Revolving & Internal Service Funds	(47,764,520)		(3,319,760)	(3,319,760)	(51,084,280)
	NET EXPENDITURE:	615,952,179	709,459	41,937,557	42,647,016	658,599,195

FY 2020 Annual Appropriations Ordinance Amendment #3 Recommendations

Attachment 2

Item #	Fund #	Fund Name	Department	Recommended Carryover	Recommended Adjustment	Mandated by Law	Authorized by Council	City Manager Request	Comments/Justification
1	11	General Fund	Health, Housing & Community Services		\$1,000,000		X		Appropriate \$1 million in Measure U1 funds of \$3 million approved for COVID-19 Berkeley Relief Fund for the East Bay Community Law Center contract for housing retention program
2	11	General Fund	Public Works		\$48,700		X		Appropriate funds for traffic calming at intersections of Dwight & California. \$400K from Council/Mayor budget referral recommendation process FY2019 AAO#1
3	11	General Fund	Public Works		\$15,000		X		Appropriate funds for the Hopkins Corridor Study. Mayor's \$200K recommendation of GF Excess Equity.Action Item dated 11/27/18.
4	11 Total			\$0	\$1,063,700				
5	113	Gilman Sport Field	Non-Departmental		\$150,000		X		Transfer \$75,000 per year of sports field user fee revenue to the Gilman Reserve Fund, as required by Joint Powers Authority agreement. Note that we did not do this in FY 2019, so this amount is covering both the FY 2019 and FY 2020 obligations.
6	113 Total			\$0	\$150,000				
7	125	Camps	Parks, Recreation & Waterfront		\$75,000			X	Appropriate \$75K for 2M Associates Contract Amendment.
8	125	Camps	Parks, Recreation & Waterfront		\$8,822,646		X		Appropriate funds for BTC construction contract.
9	125	Camps	Parks, Recreation & Waterfront		\$21,050			X	Appropriate funds for BTC Testing & Inspection Services.
10	125 Total			\$0	\$8,918,696				
11	128	CDBG	Health, Housing & Community Services		\$1,610,805	X			Special allocation for Berkeley of CDBG funds to prevent, prepare for, and respond to coronavirus (COVID-19)
12	128 Total			\$0	\$1,610,805				
13	130	Meas B - Local Street & Roads	Public Works		\$550,629			X	Appropriate funds for Sacramento St/N. Berk/BART Complete Streets
14	130 Total			\$0	\$550,629				
15	132	Meas B Paratransit	Health, Housing & Community Services		\$50,000			X	Appropriate funds for Taxi Scrip program.
16	132 Total			\$0	\$50,000				
17	134	Meas BB - Local Streets and Rd	Public Works		\$289,947			X	Appropriate funds for final construction payment of FY 2018 Street Rehabilitation Project.
18	134 Total			\$0	\$289,947				
19	135	Meas BB - Bike & Pedestrian	Public Works		\$492,015			X	Appropriate funds for Sacramento St/N. Berk/BART Complete Streets
20	135 Total			\$0	\$492,015				
21	138	Park Tax	Parks, Recreation & Waterfront	\$121,831				X	Carryover and appropriate funds for the Aquatic Park South Pathways Project.
22	138	Park Tax	Non-Departmental		\$30,000		X		Transfer of \$15,000 per year from Parks Tax to Gilman Reserve Fund, to cover the City of Berkeley's annual contribution requirement, per Joint Powers Authority agreement. Note that we did not do this in FY 2019, so this is covering both the FY 2019 and FY 2020 obligations.
23	138 Total			\$121,831	\$30,000				
24	145	Miles Lab	Parks, Recreation & Waterfront	\$158,014				X	Appropriate funds for the Aquatic Park South Pathways Project.
25	145 Total			\$158,014	\$0				
26	147	UC Settlement	Public Works	\$2,775				X	Appropriate funds for the Southside Complete Streets project for non-participating cost of the federally funded project.
27	147 Total			\$2,775	\$0				
28	306	Capital Grants - State	Public Works	\$220,000				X	Appropriate funds for the University Avenue Bus Stop Improvements Project.
29	306 Total			\$220,000	\$0				
30	307	Capital Grants - Local	Public Works		\$92,000		X		Appropriate grant funds from the Alameda County Transportation Commission for the Railroad Crossing Safety Improvement Project. Grant was approved by Council on 6/27/17 through Resolution 68,063-N.S.
31	307 Total			\$0	\$92,000				

FY 2020 Annual Appropriations Ordinance Amendment #3 Recommendations

Attachment 2

Item #	Fund #	Fund Name	Department	Recommended Carryover	Recommended Adjustment	Mandated by Law	Authorized by Council	City Manager Request	Comments/Justification
32	310	HUD/HOME	Health, Housing & Community Services		\$1,058,161		X		Appropriate HOME Funds for the 1601 Oxford project
33	310 Total			\$0	\$1,058,161				
34	311	ESGP	Health, Housing & Community Services		\$808,117	X			Special allocation for Berkeley of ESG funds to prevent, prepare for, and respond to coronavirus (COVID-19)
35	311 Total			\$0	\$808,117				
36	312	Health (General)	Health, Housing & Community Services		\$17,845			X	Additional TB Allotment
37	312	Health (General)	Health, Housing & Community Services		\$70,000			X	New Public Health Grant for Infectious Disease (currently authorized for COVID-19)
38	312 Total			\$0	\$87,845				
39	315	Mental Health Services Act	City Manager		\$334,410		X		Contract with YMCA of the East Bay through June 30, 2021 to operate Berkeley 2020 Vision Early Childhood and Resiliency Project. Approved by Council on 3/12/19 through Resolution No 68,777-N.S.
40	315	Mental Health Services Act	City Manager		\$27,329		X		Contract with Hatchel Tabenik & Associates to evaluate the Berkeley 2020 Vision Early Childhood Trauma and Resiliency Project to build the capacity of staff across Berkeley's four Head Start sites to recognize trauma and integrate a trauma- and resiliency-informed approach into their work with children and families. Approved by Council on 10/30/18 as part of the Mental Health Services Act Innovations Trauma Informed Care Plan Update through Resolution No. 68,640 - N.S.
41	315	Mental Health Services Act	Health, Housing & Community Services		\$400,000		X		Contract and any amendments with vendor Berkeley Food & Housing Project (BFHP) to provide administrative services for Berkeley Mental Health (BMH) Flexible Spending Programs and the Russell Street Residence through June 30, 2021 in an amount not to exceed \$960,874. This item is on the 5/12/20 Council Agenda.
42	315 Total			\$0	\$761,739				
43		Sr. Nutrition (Title III)	Health, Housing & Community Services		\$37,896			X	Appropriate funds for Family Caregive Support and Nutrition C-1 Contract Amendment
44	320	Sr. Nutrition (Title III)	Health, Housing & Community Services		\$5,138			X	To cover difference between FY 2020 budget and additional Award for AAA - \$51,134
45	320 Total			\$0	\$43,034				
46	321	CFP Title X	Health, Housing & Community Services		\$16,000			X	Add additional funds for Title X Grant allocation amount
47	321 Total			\$0	\$16,000				
48	327	Senior Supportive Social Services	Health, Housing & Community Services		\$1,822			X	To cover difference between FY20 budget and additional Award for AAA - \$57,595
49	327 Total			\$0	\$1,822				
50	328	Family Care Support Program	Health, Housing & Community Services		\$14,055			X	Appropriate funds for Family Caregive Support and Nutrition C-1 Contract Amendment
51	328 Total			\$0	\$14,055				
52	334	Community Action Program	Health, Housing & Community Services		\$30,000		X		Appropriate FY 2020 grant funds from CSBG for rental assistance to formerly homeless clients participating in the Shelter Plus Care Program. Adopted by Council on 6/25/19 through Resolution 68,970 N.S.
53	334	Community Action Program	Health, Housing & Community Services		\$200,000	X			Special allocation for Berkeley of CSBG funds to prevent, prepare for, and respond to coronavirus (COVID-19)
54	334 Total			\$0	\$230,000				
55	336	HEAP	Public Works		\$103,500		X		Appropriate funds for HEAP Contract: Refuse Hauling Encampments, HazMat Removal/Desposal Encampments, Clean City Crew Encampment Clean-up Supplies.
56	336 Total			\$0	\$103,500				
57	344	CALTRANS Grant	Public Works		\$1,248,678				Appropriate funds for Sacramento St/N. Berk/BART Complete Streets

FY 2020 Annual Appropriations Ordinance Amendment #3 Recommendations

Attachment 2

Item #	Fund #	Fund Name	Department	Recommended Carryover	Recommended Adjustment	Mandated by Law	Authorized by Council	City Manager Request	Comments/Justification
58	344 Total			\$0	\$1,248,678				
59	350	Bioterrorism Grant	Health, Housing & Community Services		\$233,724		X		Grant from the California Department of Public Health to prevent, prepare for, and respond to COVID-19. Acceptance of grant will be included on the May 26th Council agenda.
60	350 Total			\$0	\$233,724				
61	511	Measure T1	Parks, Recreation & Waterfront	\$15,744				X	Carryover funds for the Aquatic Park Tide Tubes project.
62	511	Measure T1	Parks, Recreation & Waterfront		\$19,727			X	Appropriate funds for Frances Albrier project
63	511	Measure T1	Parks, Recreation & Waterfront	\$500				X	Carryover fund for Grove Park Phase 2 project
64	511	Measure T1	Parks, Recreation & Waterfront	\$190,595				X	Carryover fund for the Rose Garden Project.
65	511	Measure T1	Public Works		\$100,000			X	Appropriate funds for renovations, furniture and fixtures for Mental Health.
66	511	Measure T1	Public Works		\$300,000			X	Appropriate funds for the Rose Garden Drainage
67	511 Total			\$206,839	\$419,727				
68	512	Measure O	Health, Housing & Community Services		\$25,100,000		X		Appropriate Measure O Funds for Berkeley Way Project (\$13,820,909), 1601 Oxford Project (\$4,179,091), and Bridge 1740 San Pablo Avenue Project (\$7,100,000)
69	512 Total			\$0	\$25,100,000				
70	607	MAR - Dept of Boating & WTRWY	Parks, Recreation & Waterfront		\$130,000			X	Appropriate grant funds from CA Dept of Boating & Waterways for the Surrendered and Abandoned Vessel Exchange (SAVE) program.
71	607 Total			\$0	\$130,000				
72	671	Equipment Replacement	Public Works		\$2,800,000			X	Appropriate funds for vehicle replacement.
73	671	Equipment Replacement	Public Works		\$444,760		X		Appropriate funds for principal and interest payments of Purchase Agreement for financing Fire Trucks per Reso. 69-103.N.S.
74	671 Total			\$0	\$3,244,760				
75	672	Equipment Maintenance	Public Works		\$75,000			X	Appropriate funds for the installation of the above ground Strettil-Koni hydraulic lift system.
76	672 Total			\$0	\$75,000				
77	781	Berkeley Tourism BID	City Manager		\$69,430			X	This funding belongs to the Berkeley Tourism BID and the City is obligated to disperse it.
78	781 Total			\$0	\$69,430				
	Grand Total			\$709,459	\$46,893,384				

